

Avf`k

thtnZi Securities and Exchange Ordinance, 1969 (XVII of 1969) Gi section 2(i) tZ c` E msAvbhvqx
`qvi wmwKDwi uJR GU g`vfbRg`vU wj wgtUW XvKv ÷K G. tPÁ wj wgtUW Ges PÆMÓg ÷K G. tPÁ wj wgtUW Gi GKRB
m`m` (WVGmB m`m` bs-76 Ges wmgmB m`m` bs-92);

thtnZi, Kugkb, D³ m`tm`i Avte`bmtg, wbañi Z kZfæxib, óK wWj vi wmwvte Kvq-Kvivi Kivi Rb` wmwKDwi uJR I
G. tPÁ Kugkb (AZ:ci ÓKugkbó eñj Dwj uLZ) wmwKDwi uJR I G. tPÁ Kugkb AvBb, 1993 Gi aviv 10(1) Ges
wmwKDwi uJR I G. tPÁ Kugkb (óK-wWj vi, óK-tetKvi I Abtfgw` Z cñZmbwa) wewagj v, 2000 Gi wewa 5(5) Gi Aaxb
`qvi wmwKDwi uJR GU g`vfbRg`vU wj wgtUW (AZtci óK wWj vi wmwvte Dwj uLZ) Gi AbKtj óK-wWj vi wbeÜb mb`
h_vmtg bs wbeÜb-3.1/WVGmB-76/99/29, Zwii L:25 gvP® 1999Bs Ges bs wbeÜb-3.2/092/98-056, Zwii L: 13
Rj vB, 1998 Bs cñvb Kti hvi gta` wmwKDwi uJR mspvš-mKj AvBtbi cwj tbi kZP AŠF®;

thtnZi, Kugkb Securities and Exchange Ordinance, 1969 Gi section 21 Ges wmwKDwi uJR I G. tPÁ Kugkb
(mjeavtfvMx e`emv wbiw xKi b) wewagj v, 1995 Gi wewa 6(1) G cñ E ñlgZvetj XvKv Ges PÆMÓg ÷K G. tPÁ gvP®
2004 Bs ntZ wgdPiqj Uto e`vsK wj wgtUWi tkqvi mq-wemq mn AvbñmñK Ab`vb` Kvhñ m`uv` b mspvš-Z` tš` Rb`
Avf`k bs GmBim/GmAvi GgAvBwW/2003-1/1086/102 Zwii L gvP®13, 2004 Gi gva`tg GKñU Z` š-Kugju MVb Kti ;

thtnZi D³ Kugju KZK Dc`wcz wi tcvUñtZ, Ab`vfb`i gta`, cñZqgvb nq th, D³ óK wWj vi Rbve AAb tPšaj x, Rbve
Zcb tPšaj x I Rbve m`vgtqj Gm. tPšaj x Gi bvtg Zwii L Dñj L bv Kti Ócustomer`s accountsÓ Lñj tQb;

thtnZi D³ wi tcvUñtZ Avi I cñZqgvb nq th, D³ óK wWj vi Dcti wj uLZ Kvógvit`i cñZRtbi Rb` 6000uW Kti
wgdPiqj Uto e`vsK wj wgtUWi tkqvi mtqi Rb` cñ E mq Avf`k Zwii L gvP®18, 2004 Ges gvP®31, 2004 wej t`e`
A_ñ Gwçj 6, 2004 Ges Gwçj 10, 2004 G Kvhñi Kti, A_ñ tkqvi mtqi Avf`k Kvhñti wej e`Kti ;

thtnZi D³ wi tcvUñtZ Avi I cñZqgvb nq th, D³ óK wWj vi Dcti wj uLZ Kvógvit`i wbu t`tK tkqvi mtqi Avf`k
Gi mvt`, GgbmK tkqvi mtqi Avf`k Kvhñti i mgtql , tkqvi mtqi Rb` cñqvRbxq A_ñ Av`vq Kti w; wKsev Ge`vcrti
Margin Rules, 1999 tgvZvteK tKvb Pñ cñ m`uv` b Kti w;

thtnZi, wmwKDwi uJR I G. tPÁ Kugkb (óK wWj vi, óK tetKvi I Abtfgw` Z cñZmbwa) wewagj v, 2000 Gi wewa 11
Abhvqx mb` cñB e`w³i KZ®, Ab`vb`i i gta`, wbañi`c:

Ó cñZ`K óK wWj vi, óK tetKvi Ges Abtfgw` Z cñZmbwa mtePP mZZv I wekt`Zvi minZ Zvni KvRKg`cwí Pvj bv
Kwi teb Ges AvBb, Aa`v`k, GB wewagj v Ges wñZxq Zdmñtj weaZ AvPIY wewamn wbeÜb mbt`i mKj kZfej x
h_vh`fvte cñj b Kwi teb jÓ;

thtnZi, Dctiv³ Kvhñj vc ntZ Bnv cñZqgvb ntqtQ th, D³ óK wWj vi D³ Kv÷gviti i wbu t`tK tkqvi mtqi Rb`
cñqvRbxq A_ñ Av`vtq Ges tkqvi mtqi Avf`k Kvhñti wej e`ñwñtq wmwKDwi uJR I G. tPÁ Kugkb (óK wWj vi, óK
tetKvi I Abtfgw` Z cñZmbwa) wewagj v, 2000 Gi wewa 11 fsm Kti tQ hv wmwKDwi uJR I G. tPÁ Kugkb (óK-wWj vi,
óK-tetKvi I Abtfgw` Z cñZmbwa) wewagj v, 2000 Gi wewa 12 Abhvqx kw`ñhvM` Aciva (wmwKDwi uJR I G. tPÁ
Kugkb AvBb, 1993 Gi section 10(3) Ges Securities and Exchange Ordinance, 1969 Gi section 22 mn
cñWZ);

thtnZi, D³ óK wWj vi D³ KZKtqP gva`tg wmwKDwi uJR AvBb mspvš-D³ wewa-wearb fñzi Rb` Kugkb D³ óK
wWj vi tK Kvi Y`kñbv I i bvbxi mñhvM` t`lqi Rb` wWtm`ñt 7, 2005 Bs Zwii tLi cñ bs
GmBim/GbtdvmfjU/341/2005/646 `ñi Kgtj wmwKDwi uJR I G. tPÁ Kugkb (óK-wWj vi, óK-tetKvi I Abtfgw` Z
cñZmbwa) wewagj v, 2000 (Securities and Exchange Ordinance, 1969 Gi section 22 G mn cñWZ) wewa 12
Gi Aaxb tñwJk Rvix Kti Ges wWtm`ñt 27, 2005 Bs Zwii tLi i bvbxi w` b avh`Kti ;

thtñZi i bvbxi Zwi tL Dcw Z ntq t qvi umikDwi uJR GÜ g vtbRg vU wj wgtUWi tgv: gvngyj nK, tRbtij g vtbRvi Ges tgv: Avej Kvj vg AvRv , G vmbUu g vtbRvi Gi wj mLZ Avte tbi tciñtZ Kugkb Rvbpvix 4, 2006 Bs i bvbxi w b cp: wbarfb Kti ;

Pj gvb cvZv 1/2

bs GmBim/GbtdmfgU/341/2005/
2006 Bs

Zwi Lt tde qvix ,

thtñZi D³ i bvbxtZ t qvi umikDwi uJR GÜ g vtbRg vU wj wgtUWi tgv: gvngyj nK, tRbtij g vtbRvi Ges tgv: Avej Kvj vg AvRv , G vmbUu g vtbRvi Dcw Z ntq óK wWj vti i cñ Zwi L Rvbpvix 4, 2006 Bs wL tji gva tñg, Ab vb t i gta , Rvbpv th, Kv gvi wmvve tLvj vi dig G Zwi L D t j L Kivi e e v bv vKvq Zviv Zwi L D t j L Kti bub | GQov, tkqvi mtqi Avt k cwi cvj tñ vej tñ Awf thvM A xKvi Ki Z: 30 w tbi gta tkqvi mtqi Avt k Kv hKi GmBimi cñeavb Abhvqx Kti tQ etj v ex Kti tQ, Ges Dcti wj mLZ Kv gvi MY D³ óK wWj vti i D t i v³v cwi Pvj K n l qvi Kvi tñ tkqvi mtqi cñqvRbxq A_ Av vq SñKcp Qj bv etj D t j L Kti tQb ;

thtñZi i bvbxi I Kvi b k v bvi tbnUtKi Revte wLj KZ D³ cñ Zwi L Rvbpvix 4, 2006 Bs Ges i bvbxtZ cñ E e³e w Kugk tbi Kv tQ Mñb thvM etj wete wPZ nqwb, tKbbv:-

1. Form IA(Customer Account Information Form), prescribed by rule 8(1)(ccc) of the Securities and Exchange Rules, 1987, tZ cñZ K tñ i vZv KZñ Zwi L D t j L Kivi wvavb Kiv AvtQ wvavq GZ mspvš-e³e w mWk bñ;
2. D³ Form IA tZ Account Type: Cash () ; Margin () w b w tñ f v t e D t j L Kiv AvtQ, wKš msñkó Kvógv i m & GKv D v m g n Cash w fñ E K n l qv m t Z i t k q v i m t q i A v t t k i m t , G g b w K A v t k K v h K i i Z w i t L , cñqvRbxq A_ D³ wWj vi Av vq Kti w b wKsev Margin Rules, 1999 cwi cvj b Kti w b wvavq GZ mspvš-e³e w I AvBbim x bñ;
3. umikDwi uJR I G tñ Pñ Kugkb (óK-wWj vi, óK-feñKvi I Ab t g v i Z cñ Z i w a) wvavq v, 2000 Gi wvav 11 Gi Aax t b cñ E (wñZxq Z d m j) óK-wWj vi, óK-feñKvi BZ w i R b cñ h v R Av P i b wvav b s 1 t g v Z v t e K m s ñ k ó Kvógv t i i m q A v t k tñ Z v i m t K v h K i K i t Z D³ wWj vi e v tñ t q t Q Z v Av P i b wvav b s 2(2) t g v Z v t e K Kvógv t i i 18 I 31 g v P 2004 Z w i t L i m q A v t k t g v Z v t e K u m i k D w i u m q 6 G w c j 2004 Z w i t L i cñ e K b K i t Z c v t i b w b Z v n v h v m g t q m s ñ k ó Kvógv i M b t K w j mLZ f v t e R v b t Z D³ wWj vi e tñ t q t Q b |

thtñZi t qvi umikDwi uJR GÜ g vtbRg vU wj wgtUW Gi D³ Kv h tñ g Z v e v B v Q v K Z wvavq D n v tñ v i u m i k D w i u J R I G tñ Pñ Kugkb (óK-wWj vi, óK-feñKvi I Ab t g v i Z cñ Z i w a) wvavq v, 2000 Gi wvav 11 f 1/2 ntq tQ, hv D³ wvavq v i wvav 12 Gi Aax b k w Z t h v M A c i v a ; G e s

thtñZi Kugk tbi wete Pbvq, umikDwi uJR AvBb I D n v i Aax t b R w i K Z wvav wvavb c w i c v j tñ D i j w L Z e v Z v i R b , Z v c v R e v R v t i i k s L j v I tñ Q z v i tñ v i tñ D³ ÷ K wWj vi tñ K R w i g v b v K i v cñ q v R b I m g v P x b |

AZGe, tñtñZi Kugkb, D i j w L Z h v e Z x q w e l q w e t e P b v c e R u m i k D w i u J R I G tñ Pñ Kugkb (óK-wWj vi, óK-feñKvi I Ab t g v i Z cñ Z i w a) wvavq v, 2000 Gi wvav 12 G cñ E tñ g Z v e t j D i j w L Z A c i v t a i R b tñ q v i u m i k D w i u J R G Ü g v t b R g v U w j w g t U W G i D c i G K j tñ U v K v R w i g v b v a v h K i j h v A t A v t t k i 15 (cñ b i) w tñ b i g t a u m i k D w i u J R I G tñ Pñ Kugkb tñ G i A b t t j B m j K Z e v s K W t d U / t c - A W t i i g v a t g K u g k t b R g v K i t Z n t e |

umikDwi uJR I G tñ Pñ Kugk tbi cñ tñ-

Wt G w e w g R t g v t A w R R j B m j g v tñ P q v i g v b

w e Z i b t

~ qvi wmkDwi wJR GÜ g"vfbRg"vU wj wgtUW
(wWGb m`m` bs-76 Ges wWGb m`m` bs-92);
~ qvi tmUvi , 48, gnvLvj x ev/G
XvKv-1212