



2000, as amended, read with the provisions of section 10 (3) of the Securities and Exchange Commission Act, 1993, and the provisions of section 22 of the Securities and Exchange Ordinance, 1969, as amended.

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SEC/Enforcement/259/2004/  
2006

Page-2

May ,

However, the Commission, considering the submissions of the stock delater, has decided to dispose of the proceedings against the stock dealer by placing on record the Commission's dissatisfaction on the defaults/contraventions made by it, with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of your above said defaults.

**For the Securities and Exchange Commission**

(Mansur Alam)  
Executive Director

Copy for information:

Chief Executive Officer, Chittagong Stock Exchange Ltd.

Executive Director (R&D), SEC

Executive Director (Registration), SEC

Director (MIS), SEC

Chairman's Office, SEC