



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/1064/2012/110
Dated: January 19, 2023

By Special Messenger

Md. Fakhrul Islam Securities Limited
(DSE TREC-090)
PBL (Project Builders Limited) Tower (7th & 13th Floor),
39 (Old), 17 (New), Gulshan North C/A, Gulshan-2, Dhaka-1212.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/1064/2012/1388 dated October 20, 2022 based on which the hearing was conducted on October 30, 2022.

The Commission, considering your explanation vide letter dated 27.10.2022 has decided to dispose of the proceedings against Md. Fakhrul Islam Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

19.01.2023
Md. Mahmudul Hasan
Assistant Director (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Limited