



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/3024/2021/ //**

**By Courier Service**

**Dated: January 01, 2023**

**Mr. Nazmul Islam (Client Code CM311)**

Client Code: CM311

City Bank Capital Resources Limited

Dhaka-1212

**Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3024/2021/170 dated January 24, 2022 based on which the hearing was scheduled and conducted on February 14, 2022.

The Commission, considering the clarification at the time of hearing and explanation letter no. Nil dated February 16, 2022 which was submitted after hearing, has decided to dispose of the proceedings against Nazmul Islam by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

**Muhammad Sadequr Rahman Bhuiyan**  
**Assistant Director (Enforcement)**

**Copy for information:**

Chairman's Office, BSEC

Executive Director (SRI), BSEC

Executive Director (MIS), BSEC

Managing Director, Dhaka Stock Exchange Ltd.