



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3354/2022/146

Dated: January 22, 2023

CWT Asset Management Company Ltd.

(BOID: 1204500068186109 and 1205590064936808)

Rangs Bhaban, Level-2, 117/A, Old Airport Road

Tejgaon, Dhaka-1215.

Mobile: 01730333527

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3354/2022/1432 Dated: November 02, 2022 based on which the hearing was conducted on November 27, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter reference: CWTAMC/BSEC/2022/1167 dated November 27, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/ contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


22.01.23

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.