



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3354/2022/149

Dated: January 22, 2023

Md. Abul Khayer

(BOID: 1205750071091116 and 1205950071091116)

Rashid Place, Flat No: 504 House # 356/2, Road # 20,
Block # A, Khilgaon, Dhaka-1213


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3354/2022/1435 Dated: November 02, 2022 based on which the hearing was conducted on November 27, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated November 27, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/ contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


22.01.2023

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.