



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3354/2022/150

Dated: January 22, 2023

Mr. Md. Tarek Aziz Mazumder

(BOID: 1205590067716365 and 1601880067716365)

67, Sheltech M.M. Point, Flat 6-C, Kamlapur, Dhaka.

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Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3354/2022/1436 Dated: November 02, 2022 based on which the hearing was conducted on November 27, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated December 05, 2022 which was submitted after the hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/ contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


22.01.2023

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.