



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3030/2021/0158

Dated: January 25, 2023

Md. Saif Ullah

BO ID : 1605170064096113

(Client of City Brokerage Ltd.)

Flat # 4/D, Taj Casilina (3rd Floor)

SW (I) 4, 25 Gulshan Avenue Gulshan-1, Dhaka-1212

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3030/2021/01 dated January 01, 2023 based on which the hearing was conducted on January 22, 2023.

The Commission, considering your explanation letter ref no. Nill dated 22.01.2023 has decided to dispose of the proceedings against Md. Saif Ullah by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel
25-01-2023

Rubel Hossain

Assistant Director (Enforcement)

E-mail: rubel@sec.gov.bd

Mobile : 01922-151361.

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (MSI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.