



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3022/2022/ 171

By Special Messenger

Dated: January 24, 2023

**Kazi Abdul Hasib**

BO ID # 1204490000444350 & 1204490015362949  
Bank Asia Securities Limited  
Hadi Mansion (2nd Floor), 2, Dilkusha C/A  
Dhaka

**Farhana Parvin**

BO ID # 1204490047633759  
Bank Asia Securities Limited  
Hadi Mansion (2nd Floor), 2, Dilkusha C/A  
Dhaka

**Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3022/2022/1647 dated December 13, 2022 based on which the hearing was scheduled and conducted on December 22, 2022.

The Commission, considering the explanation vide letter no. Nil dated December 22, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against you (Kazi Abdul Hasib and Farhana Parvin) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

**Muhammad Sadequr Rahman Bhuiyan**  
Assistant Director (Enforcement)

**Copy for information:**

Chairman's Office, BSEC  
Executive Director (SRI), BSEC  
Executive Director (MIS), BSEC  
Managing Director, Dhaka Stock Exchange Ltd.