

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3087/2021/৪৪১
Dated: October 21, 2021

By Special Messenger

3A & COMPANY LTD.
(CSE TREC No. 044)
Commercial Court (5th Floor)
95, Agrabad C/A
Chattogram – 4100.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3087/2021/589 dated September 1, 2021 based on which the hearing was conducted on 20.09.2021.

The Commission, considering your explanation vide letter dated 19 September, 2021 has decided to dispose of the proceedings against 3A & COMPANY LTD. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


21.10.2021
Mohammad Al Masum Mirdha
Additional Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC
4. Managing Director, Chittagong Stock Exchange Ltd