



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/3350/2022/098**

**Dated: January 18, 2023**

**TSI Securities Ltd.**

(CSE TREC Holder #009)

House # 3 (1st floor), Road # 7, Block # F  
Banani, Dhaka-1213. Bangladesh

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's Show cause cum hearing notice no. BSEC/Enforcement/3350/2022/1632 dated December 12, 2022 based on which the hearing was conducted on December 22, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated December 22, 2022, has decided to dispose of the matter against TSI Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

18.01.2023

**Mohammed Abu Hena Mostafa**

Assistant Director (Enforcement)

Phone: 01816-243634

Email: mostafaanik@sec.gov.bd

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O to Executive Director (MIS)
4. M.D, DSE