

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3009/2021/99

January 19, 2023

By Special Messenger

Ms. Nassarrat Rahman
Client Code: 1984
BLI Securities Limited
(DSE TREC No.175)
Rangs Panorama, Office Space # A-5 (5th Floor)
780 (Old), 80 (New), Shatmosjid Road
Dhanmondi, Dhaka-1209

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3009/2021/1613 dated December 08, 2022 and subsequent correspondence based on which the hearing was conducted on January 15, 2023.

The Commission, considering your explanation vide letter dated January 15, 2023 has decided to dispose of the proceedings against you, Ms. Nassarrat Rahman by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen

19.01.23

Mahmuda Shireen

Joint Director (Enforcement)

E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRMIC), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited

23.1.23

23.01.23
D (MIS)

Mr. Niloy AD