



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

M/F

BSEC/Enforcement/3284/2022/1670

Dated: December 28, 2022

A.B.M. Abdul Hye

(BO ID # 1204070061075855, Elegend Stock & Securities Ltd.)

Betal, Salia Bakpur, Beanipara, Barisal-8530

Mobile: 01717655740


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3284/2022/1587 Dated: December 05, 2022 based on which the hearing conducted on December 21, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated December 21, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (A.B.M. Abdul Hye) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


28.12.2022

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. P.O. to Director (Enforcement), BSEC.