

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2675/2019/ 543

Dated: April 05, 2022

By Special Messenger

A.K. KHAN Securities Ltd
(CSE TREC Holder No.-007)
Batali Hill, Ambagan,
Chattogram. Bangladesh.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2675/2019/262 dated February 08, 2022 based on which the hearing was conducted on February 28, 2022.

The Commission, considering your explanation vide letter dated 28.02.2022 has decided to dispose of the proceedings against A.K. KHAN Securities Ltd by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

05.04.2022

Md. Mahmudul Hasan
Assistant Director-v (Enforcement)
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.