



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2730/2019/৭৪৭

Dated: September 23, 2019

By Special Messenger

A. K. Khan Securities Limited
(DSE TREC No. 06)
Fazlur Rahman Centre (7th Floor)
72, Dilkusha C/A
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2730/2019/237 dated April 24, 2019 based on which the hearing was conducted on May 13, 2019.

The Commission, considering your explanation vide letter no. AKKSL/2019-1444 dated 13.05.2019 and subsequent letter no. AKKSL/BSEC/CP/2019/04 dated 24.07.2019 has decided to dispose of the proceedings against A. K. Khan Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
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