

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3735/2024/750
Dated: 03 June, 2025

By Special Messenger

01. AB & Co. Ltd.

(DSE TREC No. 043)
Room # 505, 9/F
Motijheel C/A, Dhaka-1000.

02. Mohiuddin Mohammad Alamgir

Sr. Asst. Manager, Compliance
AB & Co. Ltd.

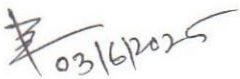
Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3735/2024/818, Dated: 20 October, 2024 based on which the hearing was conducted on 28 October, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref: ABC/DSETREC-043/2024/1748 dated 28 October, 2024 and Ref: ABC/DSETREC-043/2025/1784 dated 30 January, 2025 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


03/6/2025

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to all Commissioner, BSEC
- ✓ 3. P.O to Executive Director (MIS)
4. PO to Executive Director (MIAD) ref: SMMID note: dated 11 December, 2023
5. PO to Director (Enforcement), BSEC
6. Managing Director, Dhaka Stock Exchange PLC.