



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2565/2018/816
Dated: 29 October, 2023

By Special Messenger

AB & Co. Ltd.

(DSE TREC No. 043)

Room # 505, 9/F, Motijheel C/A

Dhaka-1000

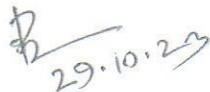
Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2565/2018/530, Dated: 13 July, 2023 based on which the hearing was conducted on 30 July, 2023.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref: ABC/DSETREC-043/2023/1626 dated 30 July, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you (AB & Co. Ltd.) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


29.10.23

Rabiul Islam

Assistant Director (Enforcement)

Mobile: 01731-421312

Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
- ✓ P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.