

**Bangladesh Securities and Exchange Commission**  
Securities Commission Bhaban  
E-6/C, Agargaon  
Sher-e-Bangla Nagar Administrative Area  
Dhaka-1207, Bangladesh

**BSEC/Enforcement/2413/2017/349**  
**September 11, 2018**

**By Special Messenger**  
Fax: 9568175

Client Name: Mr. Yakub Ali Khandaker and associates  
BOID : 1601760046824751 and 1601760046836279  
AB Investment Limited  
WW Tower (Level 7)  
68 Motijheel C/A  
Dhaka-1000

Attention: Managing Director/CEO

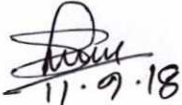
**Sub: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2413/2017/272 dated September 13, 2017 based on which the hearing was conducted on October 09, 2017.

The Commission, considering the explanation vide letter dated October 09, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Yakub Ali Khandaker and associates by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-

  
11.9.18

Md. Shahnaws  
Assistant Director

Mob:01816810586

**Copy for information:**

Chairman's office, BSEC  
P.O to Commissioner (Enforcement), BSEC  
P.O to Executive Director (Enforcement), BSEC  
P.O to Executive Director (MIS), BSEC  
P.O to Executive Director (Surveillance), BSEC  
P.O to Director (Enforcement), BSEC