



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
উদ্দেশ্য: অর্থসম্পদের উন্নয়ন এবং প্রকৃতির রক্ষণ

BSEC/Enforcement/3049/2021/২১৩
Dated: September 29, 2021

By Special Messenger

ACE Capital Management Service Ltd.
(DSE TREC No. 16)
Room # 611, Stock Exchange Building,
9/F, Motijheel C/A,
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3049/2021/374 dated June 13, 2021 and subsequent letter dated August 12, 2021 based on which the hearing was conducted on August 26, 2021.

The Commission, considering your explanation vide letter dated July 05, 2021 and subsequent letter dated September 06, 2021 has decided to dispose of the proceedings against ACE Capital Management Service Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Alh
29.09.2021

Mohammad Al Masum Mirdha
Additional Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.