

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2949/2020/1073

Date: December 05, 2021

AD Holdings & Securities Limited  
(DSE TREC Holder No: 213)  
Room # 201 & 306(A)  
Amin Court Bhaban (2nd & 3rd Floor)  
62-63, Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2949/2020-88 Dated February 03, 2021 based on which the hearing was conducted on February 22, 2021.

The Commission, considering your explanation, has decided to dispose of the matter against you (AD Holdings & Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

By order of Bangladesh Securities and Exchange Commission,

Md. Yusuf Bhuiyan  
Additional Director (Enforcement)  
Phone: 88-02-55007139  
E-mail: [yusuf@sec.gov.bd](mailto:yusuf@sec.gov.bd)

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS), BSEC.
4. P.O. to Director (Enforcement), BSEC.

14 DEC 2021

15/12/2021  
Director (MIS)

DD-I (MIS)