

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3012/2021/728
May 18, 2022

By Special Messenger

AIBL Capital Market Services Ltd.
DSE TREC# 234
Peoples Insurance Bhaban (4th & 9th floor)
36, Dilkusha C/A
Dhaka-1000

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3012/2021-846 dated October 12, 2021 based on which the hearing was conducted on February 14, 2022.

Considering the explanation vide letter No.AIBLCMSL/HO/2021/976 dated November 01, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the AIBL Capital Market Services Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
Phone: 55007139
e-mail: yusuf@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Enforcement), BSEC
4. P.O.to Executive Director (SRI), BSEC