

BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

SEC/Enforcement/2022/2013/112

Dated: April 13, 2017

By Courier Service

AIBL Capital Market Services Ltd., Peoples Insurance Bhaban (6th - 9th floor), 36, Dilkusha C/A, Dhaka - 1000.

Attention: Managing Director/CEO

Sub: Non-compliance of securities related laws. WARNING

This refers to the commission's show cause-cum-hearing notice no. SEC/Enforcement/2022/2013/21 dated January 10, 2017 based on which the hearing was conducted on January 22, 2017.

The Commission, considering the explanation vide letter dated January 22, 2017, submitted at the time of hearing, has decided to dispose of the proceedings against AIBL Capital Market Services Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Md. Hossain Khan

Deputy Director

Copy for information:

Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
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