



Bangladesh Securities and Exchange Commission

By Special Messenger

BSEC/Enforcement/3003/2021/04

January 02, 2022

Anchor Securities Limited
(DSE TREC No.177)
Room # 712 (6th Floor), Stock Exchange Building
9/F Motijheel C/A, Dhaka-1000

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Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3003/2021/1070 dated December 05, 2021 and subsequent correspondence, based on which the hearing was conducted on December 30, 2021.

The Commission, considering your explanation vide letter Ref: ASL/DSE/MCD/385 dated December 30, 2021 has decided to dispose of the proceedings against Anchor Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen

02.01.22

Mahmuda Shireen

Deputy Director (Enforcement)

E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited