

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2947/2020/069
Dated: January 11, 2022

ANF Management Company Limited
(TREC Holder # 117)
Room # 504, Stock Exchange Building
9/F, Motijheel C/A
Dhaka-1000

B
16.01.2022
DD-1 (MIS)

Attention: Manging Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement /2947/2020/1209 Dated December 21, 2021 based on which the hearing was conducted on January 10, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated January 10, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (ANF Management Company Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

11.01.2022

Mohammed Abu Hena Mostafa
Assistant Director (Enforcement)
Phone: 01816-243634
Email: mostafaanik@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Director (Enforcement), BSEC.
4. P.O to Executive Director (MIS)
5. M.D, DSE