

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2882/2020/201

By Special Messenger

Dated: 02 February, 2022

A N W Securities Ltd. (DSE TREC No. 240)
Nassa Heights (12th Floor), Plot # 47
Gulshan Avenue, Circle # 01, Dhaka-1212

Attention: **Managing Director/CEO**

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2882/2020/141, Date: 23 January, 2022 based on which the hearing was conducted on January 30, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter ref. no. ANW/240/2022/532 dated January 27, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (A N W Securities Ltd.) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


02/02/2022

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.