

Bangladesh Securities and Exchange Commission

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

BSEC/Enforcement/3210/2021/২৭২৪
January 12, 2022

By Courier Service

ARC Securities Limited
Modhumita Bhaban
2nd floor (South Corner) & 3rd Floor
158-160, Motijheel C/A
Dhaka-1000
Attention: Managing Director/CEO

১৬-০১-২২
DD-1(MIS)

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3210/2021/1149 dated December 15, 2021 based on which the hearing was conducted on December 22, 2021.

Considering the explanation vide letter dated December 21, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against ARC Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Akram Siraj

Akram Siraj 12.01.2022
Assistant Director (Enforcement)
E-mail: akram@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O. to Director (MIS), BSEC
4. Managing Director, DSE