

## Bangladesh Securities and Exchange Commission

**BSEC/Enforcement/3189/2021/287**  
February 13, 2022

**By Courier Service**

ARC Securities Limited (DSE TREC No. 100)  
Modhumita Bhaban, 2nd floor (South Corner) & 3rd Floor,  
158-160, Motijheel C/A, Dhaka-1000  
Bangladesh.

Attention: Managing Director/CEO

**Subject:**WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3189/2021/117 dated January 13, 2022 based on which the hearing was conducted on January 23, 2022.

Considering the explanation vide letter dated January 23, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the ARC Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

*Amirul*  
13.02.2022

Md. Amirul Islam  
Assistant Director (Enforcement)  
Phone: 01681009385  
e-mail: amirul@sec.gov.bd

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O. to Executive Director (SRI), BSEC
5. MD, DSE