

**Bangladesh Securities and Exchange Commission**  
**Enforcement Division**  
**Enforcement Department**  
**Market, Market Intermediaries and Other Affairs Section**  
[www.sec.gov.bd](http://www.sec.gov.bd)

**BSEC/Enforcement/3526/2023/409**  
**Dated: May 05, 2024**

**By Special Messenger**

01. ASENZ Securities Ltd.  
(DSE TREC Holder No# 065)  
Room # 729, 9/E,  
Motijheel C/A, Dhaka-1000.
02. Ms. Lailun Nahar Ekram  
MD and Compliance Authority  
ASENZ Securities Ltd.  
(DSE TREC Holder No# 065)  
Room # 729, 9/E,  
Motijheel C/A, Dhaka-1000.
03. Mr. Kazi Zubayr Naheen Ekram  
CEO & CAMLCO  
ASENZ Securities Ltd.  
(DSE TREC Holder No# 065)  
Room # 729, 9/E, Motijheel C/A,  
Dhaka-1000.

04. Ms. Rina Rani Das  
Branch In-Charge (Gulshan Branch)  
ASENZ Securities Ltd.  
(DSE TREC Holder No# 065)  
Room # 729, 9/E, Motijheel C/A,  
Dhaka-1000.
05. Mr. Mohammad Rafiqul Islam  
Compliance Officer (Gulshan Branch)  
ASENZ Securities Ltd.  
(DSE TREC Holder No# 065)  
Room # 729, 9/E, Motijheel C/A,  
Dhaka-1000.

**Attention:** Managing Director/CEO/Alleged Person

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3526/2023/253 dated March 18, 2024 based on which the hearing was conducted on March 24, 2024.

The Commission, considering your adjustment of irregularities vide your letter dated 24.03.2024 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
05.05.2024  
**Md. Mahmudul Hasan**  
Assistant Director (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. With reference to the note dated: November 29, 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC. With reference no. DSE/MCD/BSEC/2023/019/6451 Dated: November 09, 2023.

Securities Commission Bhaban, E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.