

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2572/2018/ ~~680~~ 686

Dated: April 27, 2022

By Courier Service

ASENZ Securities Ltd.  
DSE TREC# 065  
Room # 729, 9/E, Motijheel C/A  
Dhaka-1000

**Attention: Managing Director/CEO**

**Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2572/2018/260 dated February 07, 2022 based on which the hearing was scheduled and conducted on February 24, 2022.

The Commission, considering the explanation vide letter no. ASL/BSEC/Enforcement/2022- dated April 25, 2022 and DSE letter Ref. No. DSE/MCD/Clearance/2022/062/161 dated April 19, 2022 which was submitted after the hearing, has decided to dispose of the proceedings against ASEnz Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

*Muhammad Sadequr Rahman Bhuiyan*  
27.04.2022

**Muhammad Sadequr Rahman Bhuiyan**  
Assistant Director (Enforcement)

**Copy for information:**

Chairman's Office, BSEC  
Executive Director (SRI), BSEC  
Executive Director (MIS), BSEC  
Managing Director, Dhaka Stock Exchange Ltd.