

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3856/2024/57
Dated: 09 January, 2025

By Special Messenger

01. A N F Management Company Limited

(DSE TREC No. 117)
Room # 504, Stock Exchange Building
9/F, Motijheel C/A, Dhaka-1000.

02. Mr. Shamsul Huda

Managing Director
A N F Management Company Limited.

03. Md. Fazle Rahman Chowdhury

CEO & Compliance Authority
A N F Management Company Limited

04. Mr. Samsul Haque

Compliance Officer of Head Office
A N F Management Company Limited

05. Mr. Mohammad Ziaul Haque

In-charge of Agrabad, Chattogram Branch
A N F Management Company Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3856/2024/1135, Date: 23 December, 2024 based on which the hearing was conducted on 30 December, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. ANF/HO/A&F/2024/4643 dated 29 December, 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to all Commissioner, BSEC
3. P.O to Executive Director (MIS)
4. P.O to Executive Director (MIAD)
4. P.O to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange PLC.