Bangladesh Securities and Exchange Commission Enforcement Division Enforcement Department Market, Market Intermediaries and Other Affairs Section www.sec.gov.bd

BSEC/Enforcement/3502/2023/55

Dated: January 30, 2024

Abacus Securities Limited (CSE TREC # 083)

C/O: Mariners (Bangladesh) Ltd. Monjoor Building (2nd Floor) 67 Agrabad C/A, Chattogram-4100.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3502/2023/40 dated 21 January 2024 based on which the hearing was conducted on 28 January 2024.

The Commission, considering your explanation vide letter ref. ASL/BSEC/2024/01 dated 24.01.2024 has decided to dispose of the proceedings against Abacus Securities Limited (CSE TREC # 083) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel Hossain

Assistant Director (Enforcement)

E-mail: <u>rubel@sec.gov.bd</u> Mobile: 01922-151361

30-01-2129

Copy for information:

- 1. Chairman Office, BSEC.
- 2. PO to Executive Director (MIAD), BSEC; with reference to Inter Departmental Memo ref. BSEC/SRI/R.I/CSE/2020/Part-XIV/ dated 25 June 2023.
- 3. PO to Executive Director (ICTD), BSEC.
 - 4. Managing Director, Chittagong Stock Exchange PLC.