



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3025/2021/321
April 09, 2023

By Courier Service

Abdul Quaium

B.O account holder

B.O ID: 1204590043601247

Premier Bank Securities Limited

Iqbal Center (3rd & 12th Floor)

42, Kamal Ataturk Avenue, Banani

Dhaka-1213

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3025/2021/1605 dated December 06, 2022 based on which the hearing was conducted on March 27, 2023.

Considering the explanation submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Abdul Quaium by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Akram Siraj 09.04.2023

Akram Siraj

Assistant Director (Enforcement)

Email: akram@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Director (MIS), BSEC
4. Managing director, DSE