



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/3242/2022/484**

**By Special Messenger**

June 25, 2023

Adams Securities Limited (TREC no.CSE 016)  
Lily Pond Center (Level-E17)  
03 R K Mission Road, Dhaka-1203

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3242/2022/392 dated May 14, 2023 based on which the hearing was conducted on June 11, 2023.

The Commission, considering your explanation vide letter Ref: ASL/BSEC/2023/06 dated June 11, 2023 has decided to dispose of the proceedings against Adams Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

*Mahmuda Shireen*

*25.06.23*

**Mahmuda Shireen**  
Joint Director (Enforcement)  
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**Copy for information:**

1. Chairman Office, BSEC
2. P.O.to Executive Director (MIAD), BSEC
- ✓ 3. P.O to Executive Director (ICTD), BSEC
4. Managing Director, Chittagong Stock Exchange Limited