



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/2722/2019/২৩০**

**By Special Messenger**

**Dated: March 01, 2023**

Adil Securities Limited  
(DSE TREC Holder # 017)  
Room Number #72A0,  
Stock Exchange Building 9/F, Motijheel C/A  
Dhaka-1000.

**Attention: Managing Director/CEO**


**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2722/2019/1398 dated October 26, 2022 based on which the hearing was conducted on November 13, 2022.

The Commission, considering your explanation vide letter dated 11.11.2022 and subsequent submission of inspection conducted by DSE Ref. No. DSE/MCD/SI/T-017/071/450 dated January 19, 2023 has decided to dispose of the proceedings against Adil Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
01.03.2023

Md. Mahmudul Hasan  
Assistant Director (Enforcement)  
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.