

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market and Market Intermediaries Affairs Section
www.sec.gov.bd

BSEC/Enf/3737/2024/107
Dated: January 19, 2025

Afsana Jabin

Client Code # FS0455

BO ID # 1605140063816776

First Security Islami Capital & Investment Ltd
12th Floor, Al-Amin Center 25/A, Dilkusha C/A
Dhaka-1000

Sigma Technologies Ltd

Client Code # FS0446

BO ID # 1605140063817141

First Security Islami Capital & Investment Ltd
12th Floor, Al-Amin Center 25/A, Dilkusha C/A
Dhaka-1000

Osman Khadem

Client Code # FS0447

BO ID # 1605140063817117

First Security Islami Capital & Investment Ltd
12th Floor, Al-Amin Center 25/A, Dilkusha C/A
Dhaka-1000

Osman Khadem

Client Code # 37740

BO ID # 1203110068899402

United Financial Trading Company Limited
PFI Tower (2nd Floor), 56-57, Dilkusha C/A
Dhaka-1000

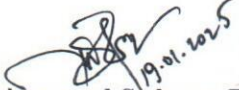
Subject: Warning to Ensure Compliance with All Securities-Related Laws in the Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enf/3737/2024/1044 dated December 01, 2024, based on which the hearing was scheduled and conducted on January 1, 2025.

The Commission, considering the explanation vide letter no. NIL dated December 23, 2024, which was submitted at the time of the hearing, has decided to **warn** you to be careful in the future and also suggested to be cautious about maintaining due diligence related to securities-related laws. Any divergence of securities-related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For the Bangladesh Securities and Exchange Commission,


Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Executive Director (MIAD), BSEC
Executive Director (ICTD), BSEC
Managing Director, Dhaka Stock Exchange Ltd.