

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3128/2021/989  
Dated: November 17, 2021

By Courier Service

Ahmed Iqbal Hasan Securities Limited Ltd  
DSE TREC No. 114  
Room # 735 & 736, 9/E, Motijheel C/A  
Dhaka-1000

25 NOV 2021

Attention: Managing Director/CEO

28/11/2021  
MIS  
Director (SRMIS)  
DD-I (MIS)

Sub: Non-compliance of securities laws: -Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3128/2021/838 dated October 10, 2021, based on which the hearing was conducted on October 28, 2021.

The Commission, considering the explanation vide letter no. AIHSL-114/COM/EXP-BSEC/21-04 dated October 26, 2021 which was submitted at the time of hearing, has decided to dispose of the proceedings against Ahmed Iqbal Hasan Securities LTD by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

17.11.2021

Muhammad Sadequr Rahman Bhuiyan  
Assistant Director  
Enforcement Department

Copy for information:

Chairman's Office, BSEC  
Executive Director (SRI), BSEC  
Executive Director (MIS), BSEC  
Managing Director, Dhaka Stock Exchange Ltd.