

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3108/2021/ ৪০ |

Dated: May 29, 2022

By Special Messenger

Ahmed Iqbal Hasan Securities Ltd.  
(DSE TREC No. #114  
Room No #753 & 736, 9/E,  
Motijheel C/A, Dhaka-1000.

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3108/2021/678 dated April 26, 2022 based on which the hearing was conducted on May 19, 2022.

The Commission, considering your explanation vide letter dated 16.05.2022 has decided to dispose of the proceedings against Ahmed Iqbal Hasan Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

29.05.2022

Md. Mahmudul Hasan  
Assistant Director-v (Enforcement)  
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Email: mahmudulhasan@sec.gov.bd

**Copy for information:**

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.