

Bangladesh Securities and Exchange Commission  
Enforcement Division  
Enforcement Department  
Issuer Companies Affairs Section  
[www.sec.gov.bd](http://www.sec.gov.bd)

BSEC/Enforcement/3859/2024/56  
Dated: 09 January, 2025

By Special Messenger

01. **Ahmed Iqbal Hasan Securities Ltd.**  
(DSE TREC # 114)  
Room # 735 & 736, 9/E  
Motijheel C/A, Dhaka-1000
02. **Mr. Ahmed Iqbal Hasan**  
Chief Executive Officer  
Compliance Authority and Compliance Officer  
Ahmed Iqbal Hasan Securities Ltd.
03. **Mr. Khondaker Tariqul Islam**  
Branch In-Charge of Mohammadpur Branch  
Ahmed Iqbal Hasan Securities Ltd.
04. **Mr. Imran Shawon**  
Compliance Officer of Mohammadpur Branch  
Ahmed Iqbal Hasan Securities Ltd.

Attention: **Managing Director**

**Subject: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3859/2024/1134, Date: 23 December, 2024 based on which the hearing was conducted on 30 December, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated 29 December, 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
Rabiul Islam  
Assistant Director (Enforcement)  
Mobile: 01731-421312  
Email: rabiul@sec.gov.bd

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O to all Commissioner, BSEC
3. P.O to Executive Director (MIS)
4. P.O to Executive Director (MIAD)
4. P.O to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange PLC.