



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3423/2023/ 1093

Dated: December 14, 2023

By Special Messenger

Akij Capital Management Limited
(TREC Holder No# 231)
Room# 189, DSE Tower (Level-10)
Plot #46, Road#21, Nikunja-2, Dhaka-1229.

Attention: Managing Director/CEO/Alleged Person

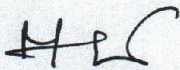
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3423/2023/403 dated May 16, 2023 based on which the hearing was conducted on June 11, 2023.

The Commission, considering your adjustment of irregularities vide letter dated 08.06.2023 has decided to dispose of the proceedings against Akij Capital Management Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


19.12.2023

Md. Mahmudul Hasan

Assistant Director (Enforcement)

Mobile: 01515292195

Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. With reference no. BSEC/SRI/DSE#231/2013/-591 Dated: December 22, 2022.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd. With reference no. DSE/MCD/MF/T-231/SI/2022/7117 Dated: November 30 2022.