

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/2664/2018/37

By Special Messenger

Dated: 18 January, 2024

01. Al-Muntaha Trading Co.Ltd.

(DSE TREC No. 049)

Room No-525, 9/E Motijheel C/A

Dhaka-1000.

02. Mr. Md. Noor Alam

Compliance Officer

Al-Muntaha Trading Co. Ltd

03. Mr. Md. Akhteruzzaman

Accounts In-Charge

Al-Muntaha Trading Co. Ltd

Attention: **Managing Director/CEO**


Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2664/2018/1110, Date: 21 December, 2023 based on which the hearing was conducted on 15 January, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. No. AMC/0892 dated 14 January, 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

 18.01.2024

Rabiul Islam

Assistant Director (Enforcement)

Mobile: 01731-421312

Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.