

Avf`k

thtnZi Kugkb, Avtj vtKv wmwKDwi uJR wj wgtUW (wWGMb m`m` bs-139) tK Zvi Avte`bµtg, wbañi Z kZaxtb wmwKDwi uJR µq weµq KgRvÉ cwi Pvj bv Kivi Rb` wmwKDwi uJR I G. tPÁ Kugkb (AZ:ci 0Kugkb0 etj Duj w-Z) wmwKDwi uJR I G. tPÁ Kugkb AvBb, 1993 (1993 mñbi 15 bs AvBb) Gi 10(1) G c0 É ¶lgZvetj wmwKDwi uJR I G. tPÁ Kugkb (÷K-wWj vi, ÷K-tetKvi I Abtjgw` Z c0Zibwa) wewagj v, 2000 Gi wewa 5(5) mn cwWZ ÷K-tetKvi/wWj vi ti wRt0kb mwlUctjKU c0 vb Kti tQ;

thtnZi Kugkb Gi Avf`k bs SEC/SRI/INS/DSE-MEM/2010/69 dated April 07, 2011 Gi gva`tg Avtj vtKv wmwKDwi uJR wj wgtUW G cwi`kñbi Rb` GKwU KugwU MwZ nq Ges D³ cwi`kñ KugwU `wLj KZ c0Zte`b Abñvqx findings and contraventions wbañi“C:

- 0. “After random checking it has been found that Aloco Securities Limited (DSE Member # 139) provided credit facilities to Md. Khurshed Alam (client code # 09142), Anowar Hossain (client code # 17005), Abul Bashar Swapon (client code # 17001), Md. Monir Hossain (client code # 09305), Monir Ahmed, (client code # 14234), Md. Alamgir (client code # 15717) to purchase shares of different listed securities without having any Margin Loan Agreements with the said clients.

**Contravention:** Through the aforesaid activities, Aloco Securities Limited (DSE Member # 139) has violated Rule 3(2) of the Margin Rules, 1999.”

thtnZi Kugkb Dcti wj w-Z c0Zte`b Abñvqx wmwKDwi uJR AvBb cwi cvj tb e`\_Zvi `i`b Avtj vtKv wmwKDwi uJR wj wgtUW Gi ei veti GKwU show-cause-cum-hearing notice mñ bs- SEC/Enforcement/930/2011/352 Zwii L 22 Rp 2011 Bs t0Y Kti Ges 29 Rp 2011 Bs Zwii tL kpbxi w`b avh`Kti Ges avh`KZ Zwii tL D³ tetKvi/wWj vi Gi KgcwY Awcwmvi Dcw`Z ntq& 29 Rp 2011 Bs Zwii tLi ctñi gva`tg wj wLZ e³e` wLj Ktib| hvZ Ab`vtb`i gta`, wbtg³ wcl q,wj i Dñj E-AvtQ:-

“Avcbvi 1bs AwfthvñMi cwi t0ññZ mwebtq Rvbw`Q th, Avgiv wewbtqvMKvixt` i tK KLtbv gwR0 Avtñbi Avl Zvq FY c0 vb Kwibv| i agvñ Dctiv³ cwi w`wZi Avtj vtK` wU KtqK eo wewbtqvMKvixtK mvgwqKfvte Zvt` i wewbtqvM Zj bvq Lp Kg cwi gvb UvKv tñMwUf ivLñZ w`ñZ ntqtQ Ges BwZgta` Zwi v tñMwUf e`vtj Y`wK Kti w`tqtQb| Avcbvi m`q AeMwZ I wetePvbi Rb` Avi I Rvbw`Q th, Dñj w-Z wmwve`\_tjvi tñMwUf e`vtj Y`\_tjv tdmñmñtj i gva`tg mgšq KiñZ tP0v Kiñj evRvi cwi w`wZi tenvj `kvi Kvi tY wewbtqvMKvixt` i cP0 Avcwñ Ges AmñthvMwZvi gñL cwZZ nB| dj k0ññZ Avgiv wKQymgtqi Rb` bgbxq nñZ eva` ntqvQ| Aek` BvñK Avgiv KLbI i`wUv KvR wñtmñte wetePZ Kwibv hvnv Avcbvt` i tK mwebtq Avkñ` KiwQ|

Avcbvi 2bs AwfthvñMi cwi t0ññZ mwebtq Rvbw`Q th, ewñZ Kv÷gvi tKwW bs 17005, 17001, 14234 Ges 15717-G tKvb i Kg kUñmj nqwb| Dñj w-Z Kv÷gvi wmwve mgñn 20.03.2011 Bs Zwii tL weµqKZ UNITEDAIR, QSM DRYCELL, DESHBANDHU Ges STANDBANKL Gi tkhv`\_tjv 14.03.2011 Bs Zwii tL µq Kiv ntqvQj Ges tm`\_tjv h\_wbqñg 20.03.2011 Bs Zwii tL weµqñhvM` wQj | Gñññññ Avcbvt` i tK Avgvt` i KZñ t`qv

Avf`k

wi tcvU<sup>3</sup> wj tZ mdUI qvfi i T`wUi Kvi tB 20.03.2011Bs Zwii tL wepμqKZ tkqvi t`jv wepμqthvM` t`Lvqwb| GZ` tnsμvš-  
wmmWveGj mn hveZxq Z` mshy<sup>3</sup> Kij vg, hvvtZ Avgvt` i weklym Avcbvivi GKgZ tcvl b Ki tēb|

Dctiv<sup>3</sup> eY<sup>3</sup>vi Avtj vtK Avgiv Avk;` Ki tZ PvB th, fiel tZ tUWs Kvhpūtg Avgiv me<sup>3</sup>v wbgg Kvbp h\_vh\_fvte tētb  
Pjvi e`vcvfi Avtiv mtpó \_vKe Ges eZ<sup>3</sup>vtb D<sup>3</sup> cwi w`vZtZ Avb`QvKZ Awbqg qIgv my`i `wótZ t`Lvi Rb`  
wetkl fvte Abfi va Rvbw`Q|`”

thtñZi Avtj vtKv wmmKDwi uJR wj wgtUW Gi wj wLZ e<sup>3</sup>e` t`tK my`úó cZxqgvb nq th, i bvbxi tbwUtki AwfthvMmgn  
Avtj vtKv wmmKDwi uJR wj wgtUW t`Kvi Kti tQ hv wmmKDwi uJR AvBb cwi cvj tB e`\_Zv weavq section 22 of the  
Securities and Exchange Ordinance, 1969 Abhvqx kw`thvM` Aciva Ges hv qIgv A`thvM`;

thtñZi Kwgk tbi wetēPbvq, wmmKDwi uJR AvBb cwi cvj tB Duj wLZ e`\_Zvi Rb`, Z\_v cvRevRvti i Dbq tbi cvkvcwk  
evRvti i kslj v l t`QZv i qIvi t`\_D<sup>3</sup> tēKvi wWj vi tK Rwi gvbv Kiv cQqvRb l mgxPxb;

AZGe, tmñZi, Kwgkb, Duj wLZ hveZxq wēl q wetēPbvceR, Securities and Exchange Ordinance, 1969  
(Ordinance No. XVII of 1969) Gi section 22 [hv The Securities and Exchange  
(Amendment) Act, 2000 Øviv mstkwæZ] G cō Ē qIgzvetj Avtj vtKv wmmKDwi uJR wj wgtUW Gi Dci 3 (wZb)  
j qI UvKv Rwi gvbv avh<sup>3</sup>Kij hv AĀ Avf` tki Zwii L nZ 15 (ctbi) w` tbi gta` ōwmmKDwi uJR l G- tPĀ Kwgkbō Gi  
Abk tj BmjKZ e`vsK WtdU/tc-AW<sup>3</sup>i i gva`tg Kwgk tB Rgv Ki tZ nte|

wmmKDwi uJR l G- tPĀ Kwgk tbi Avf` kμtg,

Aa`vcK W: Gg Lvqi`j tnv tmb  
tPqvi g`vb

wēZi bt  
Avtj vtKv wmmKDwi uJR wj wgtUW  
i`g bs-520, ÷K G- tPĀ wēw s  
9/B gwZwSj ev/G  
XvKv-1000|

