



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/ 3271/2022/ 1359

By Special Messenger

Dated: October 20, 2022

Aloco Securities Ltd. (DSE TREC# 139)
Room # 520, DSE Annex Building, 9/E, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

Subject: Non-compliance of securities related laws: Dispose of the alleged matter.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3271/2022/1070 Dated: August 04, 2022, based on which the hearing was conducted on August 21, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter ALOCO-TREC-139/08/2022/1051 dated August 17, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against you.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of any default.

For Bangladesh Securities and Exchange Commission,

Muhammad Sadequr Rahman Bhuiyan

Assistant Director (Enforcement)

Phone-01557344274

E-mail- msrahman@sec.gov.bd

Copy for information:

1. Chairman's Office, BSEC
2. Executive Director (MSI), BSEC
3. Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Ltd.