

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2524/2018/558
Dated: April 06, 2022

Anchor Securities Ltd.
(TREC Holder # 177)
Room # 712 (6th Floor), Stock Exchange Building
9/F, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2524/2018/244 Dated February 07, 2022 based on which the hearing was conducted on February 24, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated February 24, 2022, has decided to dispose of the matter against you (Anchor Securities Ltd.) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Mohammed Abu Hena Mostafa
Assistant Director (Enforcement)
Phone: 01816-243634
Email: mostafaanik@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Director (Enforcement), BSEC.
4. P.O to Executive Director (MIS)
5. M.D, DSE