

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3119/2021/904
October 26, 2021

By Courier Service

Anchor Securities Limited
DSE TREC Holder No.177
Dhaka-1205
Room # 712 (6th Floor), Stock Exchange Building
9/F Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3119/2021/792/ dated October 05, 2021 based on which the hearing was conducted on October 11, 2021.

Considering the explanation vide letter No.ASL/BSE/Enforcement/2021/04 dated October 11, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Anchor Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Amirul Islam
6.10.2021

Md. Amirul Islam
Assistant Director (Enforcement)
Phone: 01681009385
e-mail: amirul@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Enforcement), BSEC
4. P.O. to Executive Director (SRI), BSEC