

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3274/2022/ 1024
July 31, 2022

By Special Messenger

Anirban Das Gupta
Managing Director of Uniroyal Securities Ltd.
BO ID: 1201770000025901
BO ID: 1205590000025901
BO ID: 1205150000025901
Zaman Court (2nd Floor)
45, Dilkusha C/A, Dhaka-1000

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3274/2022/937 dated June 23, 2022 based on which the hearing was conducted on July 17, 2022.

Considering the explanation vide letter No. dated July 17, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
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Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
- ~~3. P.O to Executive Director (Enforcement), BSEC~~
4. P.O. to Executive Director (SRI), BSEC

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