

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3591/2024/472

Dated: May 16, 2024

01. **Annesha Securities and Asset Management Ltd.**, Suit No. #1601, Dilkusha Center, 28 Dilkusha C/A, Dhaka-1000.
02. **Mr. Mizanur Rahman Zaved, Managing Director** of Annesha Securities and Asset Management Limited, Suit No. #1601, Dilkusha Center, 28 Dilkusha C/A, Dhaka-1000.

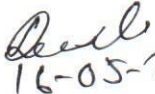
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3591/2024/363 dated 23 April 2024 based on which the hearing was conducted on 12 May 2024.

The Commission, considering your explanation vide letter ref. ASAML/BSEC/Enforcement-01/2024 dated 12.05.2024 has decided to dispose of the proceedings against Annesha Securities and Asset Management Ltd. and Mr. Mizanur Rahman Zaved, Managing Director of Annesha Securities and Asset Management Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


16-05-2024

Rubel Hossain
Assistant Director (Enforcement)
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Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to BSEC Order no. BSEC/IE&ID/Enquiry-2023-12/96 dated 9th August 2023.
3. PO to Executive Director (ICTD), BSEC.