

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market and Market Intermediaries Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3838/2024/ 53

Dated: January 6, 2025

Anwer Khan Modern Securities Ltd.

(DSE TREC No. 196)

Noorani Tower (Level-13, South-East side) 1, Mohakhali C/A, Bir Uttam A K Khandaker Sarak
Dhaka-1212

Mr. Md. Masudul Alam

Chief Executive Officer

Anwer Khan Modern Securities Ltd.

Mr. Md. Rayhan Sarder

Compliance Officer

Anwer Khan Modern Securities Ltd.

Mr. Abdur Rahim

Accounts In-Charge

Anwer Khan Modern Securities Ltd.

Subject: Warning to Ensure Compliance with All Securities-Related Laws in the Future.

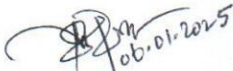
This refers to the Commission's show cause cum hearing notice No. BSEC/Enf/3838/2024/1097 dated December 17, 2024, based on which the hearing was scheduled and conducted on December 30, 2024.

The Commission, considering the explanation vide letter no. একেএমএসএল/এডমিন/০৩/বিএসইসি/২০২৪/০২ dated December 23, 2024, which was submitted at the time of the hearing, has decided to **warn** you to be careful in the future and also suggested to be cautious about maintaining due diligence related to securities related laws. Any divergence of securities-related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

You are hereby advised to follow up individual job responsibilities of the employee and make them responsible for the lapse found in the above-mentioned show-cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For the Bangladesh Securities and Exchange Commission,


06.01.2025

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC

Executive Director (MIAD), BSEC

Executive Director (ICTD), BSEC

Managing Director, Dhaka Stock Exchange Ltd.