

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

By Courier Service

BSEC/Enforcement/3041/2021/903

Date: October 26, 2021

Apex Investment Limited
(DSE TREC Holder No.07)
House No. 06, Road No. 137,
Block – SE(D) Gulshan-1, Dhaka-1212.

Attention: Managing Director/CEO

Sub: Warning to ensure compliance of all Securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2602/2018/367 and BSEC/Enforcement/2602/2018/368 dated- June 03, 2021 based on which the hearing was conducted on June 27, 2021.

The Commission, considering the explanation vide letter dated-June 27, 2021, June 29, 2021 and October 07, 2021 submitted at the time of hearing and later, has decided to warn of the aforesaid show cause cum hearing notice against Apex Investment Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Md. Saiful Islam
Joint Director
Email: mislam@sec.gov.bd
Ph. no. 55007145

Copy for information:

- Executive Director (SRI), BSEC
- Executive Director (MIS), BSEC
- MD, Dhaka Stock Exchange