



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

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**BSEC/Enforcement/2818/2019/1505**

**By Special Messenger**

November 17, 2022

Apex Investments Limited  
(DSE TREC No. 007)  
House # 06 (4th Floor, East Side)  
Road # 137, Block # SE(D)  
Gulshan-1, Dhaka-1212

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2818/2019/996 dated July 19, 2022 based on which the hearing was conducted on August 07, 2022.

The Commission, considering your explanations vide letters Ref:APEX/HO/COMP/2022 dated August 07, 2022 and Ref:APEX/HO/COMP/2022 dated November 10, 2022 has decided to dispose of the proceedings against Apex Investments Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

*Mahmuda Shireen*

17.11.22

**Mahmuda Shireen**  
Joint Director (Enforcement)  
E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited