



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2818/2019/715

By Special Messenger

Dated: 20 September, 2023

**01. Mr. Dilip Kajuri**

CEO, TREC Representative and Compliance Authority  
Apex Investments Limited (DSE TREC No. 007)  
House # 06 (4th Floor, East Side), Road # 137  
Block # SE(D), Gulshan-1, Dhaka-1212.

**02. Mr. Mohammad Imran Ahmed**

Compliance Officer and CDBL In-charge  
Apex Investments Limited

**Attention:** Managing Director/CEO, Apex Investments Limited.

**Subject: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2818/2019/628, Date: 30 August, 2023 based on which the hearing was conducted on 17 September, 2023.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref: APEX/HO/COMP/2023/11912 dated 17 September, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you (Mr. Dilip Kajuri and Mr. Mohammad Imran Ahmed) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

*Rabiul Islam*  
20.9.2023

Rabiul Islam

Assistant Director (Enforcement)

Email: rabiul@sec.gov.bd

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.